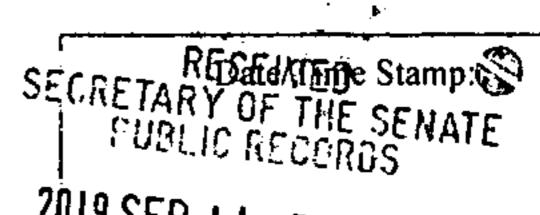
Employee Post-Travel Disclosure of Travel Expenses



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Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building.

(Date)

(Revised 1/3/11)

U19 SEP 11 PM 2: 15

In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached: ☑ The <u>original</u> Employee Pre-Travel Authorization (Form RE-1), <u>AND</u> ☑ A copy of the Private Sponsor Travel Certification Form with all attachments (itinerary, invitee list, etc.) Private Sponsor(s) (list all): Stanford University's Hoover Institution Travel date(s): August 21-23, 2019 Name of accompanying family member (if any): N/A Relationship to Traveler:

Spouse ☐ Child IF THE COST OF LODGING DID NOT INCREASE DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.) **Expenses for Employee:** Meal Expenses Other Expenses Transportation Lodging Expenses (Amount & Description) Expenses ☐ Good Faith \$617.00 \$400 (\$200/night) \$168.49 None **Estimate** ☑ Actual Amount Expenses for Accompanying Spouse or Dependent Child (if applicable): Other Expenses Lodging Expenses Meal Expenses Transportation (Amount & Description) Expenses N/A N/A N/A N/A ☐ Good Faith Estimate ☐ Actual Amount Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if Linecessary.): ĿΛ See attached agenda. C) (Signature of traveler) TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER: I have made a determination that the expenses set out above in connections with travel described in the Employee Pre-Travel Authorization form, are necessary transportation, lodging, and related expenses as defined in Rule 35

(Signature of Supervising Senator/Officer)

Date/Time Stamp:

EMPLOYEE PRE-TRAVEL AUTHORIZATION

<u>Pre-Travel Filing Instructions</u>: Complete and submit this form at least 30 days prior to the travel departure date to the <u>Select Committee on Ethics</u> in <u>SH-220</u>. Incomplete and late travel submissions will <u>not</u> be considered or approved. This form <u>must</u> be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

(Revised 10/19/15)

ETHIC JUL19'19PM 2:07

Form RE-1

Name of Traveler:	Erich R. Hartman
Employing Office/Committee:	Senate Committee on the Budget
Private Sponsor(s) (list all): Stanford Un	iversity's Hoover Institution
Travel date(s): August 21-23, 2019	
	for any reason you <u>must</u> notify the Committee.
Destination(s): Stanford University, St	anford, CA
Explain how this trip is specifically connec	cted to the traveler's official or representational duties:
As a member of the professional staff of the fiscal issues that will be discussed at the Hoo	Senate Budget Committee, I am responsible for analyzing a variety of economic and over Institution's educational events.
Name of accompanying family member (if Relationship to Employee: Spouse I certify that the information contained in t	
7/18/2019	Erich Hurt
(Date)	(Signature of Employee)
TO BE COMPLETED BY SUPERVISING SE Secretary for the Majority, Secretary for the Majority	ENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms inority, and Chaplain):
Senator Michael B. Enzi	hereby authorize Erich R. Hartman
(Print Senator's/Officer's Name)	(Print Traveler's Name)
related expenses for travel to the event des	to accept payment or reimbursement for necessary transportation, lodging, and cribed above. I have determined that this travel is in connection with his or her lder, and will not create the appearance that he or she is using public office for
I have also determined that the attendance of the Senate. (signify "yes" by checking box) 7-/18/2-019	of the employee's spouse or child is appropriate to assist in the representation
(Date)	(Signature of Supervising Senator/Officer)



Dear Mr. Hartman,

We are pleased to inform you that you have been selected to participate in the Hoover Institution's Economic Fellowship Program. To proceed, please confirm your agreement to attend by completing this form by the close of business on Friday, April 5th.

Over the next few months you and your fellow program participants will participate in seminars featuring some of the Hoover Institution's premier economic scholars. These sessions will challenge you and help you wrestle with the important ideas that drive economic policy. The program will culminate in a two and a half day policy seminar on Stanford University's campus, the home of Hoover Institution's headquarters.

The first Fellowship seminar will take on May 2nd at 6:00pm on Capitol Hill (room forthcoming). The dates and times of confirmed Washington, D.C. seminars and the Stanford University staff delegation can be found in the program itinerary below. It is expected that you attend all Washington, D.C. events in order to attend the program on Stanford University's campus. Should you need to miss a session, please coordinate with Hoover Institution staff to find a suitable make up assignment.

If you have any questions, do not hesitate to contact Paige Mathes (spmathes@stanford.edu) or Trevor Carlsen (tcarlsen@stanford.edu). Again, thank you for your participation. We look forward to and expect an excellent program.

Sincerely,

Michael G. Franc

Director, Washington D.C. Programs Hoover Institution, Stanford University

Muhal G. Franc



Washington, D.C. Seminars

May 2^{nd} 6:00p.m. – 7:30p.m.

Capitalism, Socialism and Democracy - Michael Boskin

May 16th 6:00p.m. - 7:30p.m.

International Trade and Economic Change – Russell Roberts

May 30th 6:00p.m. - 7:30p.m.

Education, Freedom and Prosperity - Chester Finn Jr.

June 13th 6:00p.m. - 7:30p.m.

Property Rights and Innovation - Steve Haber

June 27th 6:00p.m. – 7:30p.m. [Tentative]

The Significance of Government Spending and Debt – John Cogan

July 11th 6:00p.m. - 7:30p.m.

How Our Constitutional Architecture Fosters Prosperity - Adam J. White

July 23rd 6:00p.m. – 7:30p.m. [Tentative]

American Prosperity: First Principles – John Taylor and John Cochrane

Noté: This event is tentatively scheduled for a Tuesday evening

Stanford University Program

August $21^{st} - 23^{rd}$, 2019

Full Program Agenda Forthcoming

Themes to be discussed include: Threats to Our Prosperity; Education and the Nation's Future Labor Force, Healthcare Reform; Technology & Regulation; and Why America is Going Broke.

Potential speakers for this program include Niall Ferguson, Scott Atlas, Edward Lazear, Nicholas Petit and Caroline Hoxby.

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should NOT submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee MUST also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

Des	cription of the trip: An intensive program for Congressional staff which consists of three days of
sen	ninars, simulations, and keynote presentations.
Date	August 21-23, 2019 es of travel:
Plac	e of travel: Stanford University, Stanford, CA
Van	ne and title of Senate invitees: See attached list.
l ce	rtify that the trip fits one of the following categories:
	(A) The sponsor(s) are not registered lobbyists or agents of a foreign principal <u>and</u> do not retain or employ registered lobbyists or agents of a foreign principal <u>and</u> no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip. - OR -
	(B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (see question 9).
×	I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
	I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
X	The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for de minimis lobbyist involvement. LAND -
X	The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (see question 9).

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9.	USE ONLY IF YOU CHECKED QUESTION 6(B) I certify that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:
	(A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip.
	(B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip (see questions 6 and 10).
	(C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.
10.	USE ONLY IF YOU CHECKED QUESTION 9(B) If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:
11.	An itinerary for the trip is attached to this form. I certify that the attached itinerary is a detailed (hourby-hour), complete, and final itinerary for the trip.
12.	Briefly describe the role of each sponsor in organizing and conducting the trip:
	Stanford University's Hoover Institution solely planned all aspects of the trip including topics discussed,
	travel/accommodation logistics, and required paperwork. Hoover staff will also be responsible for
	traveling with Congressional staff and managing logistics for the duration of the trip.
13.	Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission: The Hoover Institution is a research institution that seeks to improve the human condition by advancing
	ideas that promote economic opportunity and prosperity while securing and safeguarding peace
	through its world renowned scholars, library and archives, as well as by engaging Congress and its staff.
14.	Briefly describe each sponsor's prior history of sponsoring congressional trips:
	This is the seventh sponsored trip for Congressional staff organized by the Hoover Institution. The
	latest of which was in April 2019 and had a similar format to this trip.

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our crait of jour riamote,	Congressional staff, ex	ecutive branch officials	, academics and me	embers of the
public.				
Total Expenses for Ea	ch Participant:			
	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expense:
Good Faith estimate	\$617.00	\$400 (\$200/night)	\$168.49	None
Actual Amounts				
participation or b) the congressional particip	trip involves an event ation:	that is arranged or organized that is arranged or organized specifically wi	anized <i>specifically</i> v	vith regard to
participation.	·· ·		u	
Reason for selecting t	he location of the even	nt or trip		
In order to have a sig	nificant number of Cal	ifornia-based Senior Fe	llows participate in	the event, we a
hosting on the Hoove	r Institution's headqua	rters on Stanford Unive	rsity campus.	<u>.</u>
Name and location of	hotel or other lodging	facility:		
Schwab Residential (Center, 680 Serra Stre	et, Stanford, CA 94305	·	
	a hotel or other ladgin	g facility:		
Reason(s) for selecting	ig moter or other loagili	0 ,		

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Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:
Lodging expenses are less than the federal per diem for Palo Alto, CA. Meal expenses are less than the
federal per diem for Palo Alto, CA.
Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:
Stanford University's Hoover Institution will provide coach-class, round trip airfare between Washington,
DC and San Francisco, CA, and round trip ground transportation between Stanford University and SFO.
I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).
List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:
None.
I hereby certify that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you must include a completed signature page for each additional sponsor): Signature of Travel Sponsor: Michael Franc, Director of DC Programs Name and Title:
Name of Organization: Hoover Institution
Address: 1399 New York Avenue, NW, Suite 500, Washington, DC 20005
Telephone Number:
Fax Number:
mfranc@stanford.edu E-mail Address:

AUGUST 21-23, 2019 HOOVER INSTITUTION, STANFORD UNIVERSITY

Note: There will be 10-minute breaks between sessions.

WEDNESDAY, AUGU	<u>JST 21, 2019</u>
8:50 AM:	Depart IAD on UA Flight 1763
11:24 AM:	Arrive SFO and pick up shuttle bus in baggage claim
12:15 – 1:00 PM:	Lunch and Welcome by Hoover DC staff Location: 580 Serra Mall, Stanford, CA 94305
1:00 – 2:20 PM:	The Great Degeneration Niall Ferguson will discuss his 2014 book "The Great Degeneration: How Institutions Decay and Economies Die".
2:30 – 3:40 PM:	Have We Closed the Socioeconomic Gap? Eric Hanushek will discuss 50 years of student performance data in math, reading, and science to examine whether socioeconomic achievement gaps have widened, narrowed or persisted.
3:50 - 5:00 PM:	Why America is Going Broke John Cogan will discuss his research on the US budget, fiscal policy, and entitlement programs.
5:00 – 6:00 PM:	Check into Schwab Residential Center Location: 680 Serra Mall, Stanford, CA 94305
6:00 6:30 PM:	Shuttle to dinner location

Snuttle to dinner location 6:00 - 6:30 PIVI:

Informal Dinner 6:30 - 8:30 PM:

Location: Dan Gordon's, 640 Emerson Street, Palo Alto, CA

Transport to Schwab Residential Center 8:30 PM:

Location: 680 Serra Mall, Stanford, CA 94305

THURSDAY, AUGUST 22, 2019

All meetings will be held in: Lou Henry Hoover Building, Room 101, 580 Serra Mall, Stanford, CA 94305

8:30 – 9:00 AM: Continental Breakfast

9:00 - 10:10 AM: Environmental Markets: A Property Rights Approach

Terry Anderson will discuss his concept of "free market

environmentalism", the idea of using markets and property rights to

solve environmental problems.

10:20 AM - 11:30 PM: History of Monetary Policy

Jennifer Burns will give a historical look at monetary policy and how

she uses Hoover's archives for her research.

11:40 – 12:50 PM: Immigration and Economic Performance

Tim Kane will discuss how immigration policies affectsour nation's

economic performance.

12:50 AM - 1:15 PM: Lunch

1:15 – 2:25 PM: Discussion on Health Insurance Markets

Kate Bundorf will focus on the future of health insurance and health-

care-provider markets.

2:35– 3:00 PM: Hoover Tower Tour

3:10 – 4:30 PM: Archives presentation (economics focused items)

Jean Cannon will present archival materials from the Hoover collections

and discuss how learning from history can help drive current policy.

4:40 – 5:45 PM: Campus tour

5:45 – 6:15 PM: Reception

Location: Fairweather Courtyard

6:15 – 8:15PM: Dinner Keynote: Strategies for Economic Growth

John Cochrane will discuss strategies to grow the economy and policies

that support that growth.

Location: Fairweather Courtyard

8:15 PM: Return to Schwab Residential Center

Location: 680 Serra Mall, Stanford, CA 94305

FRIDAY, AUGUST 23, 2019

All meetings will be held in Lou Henry Hoover Building, Room 101, 580 Serra Mall, Stanford, CA 94305

9:00 – 9:30 AM: Continental Breakfast

9:30 – 10:40 AM: Healthcare Reform

Scott Atlas will discuss our current healthcare policies and how it can

be reformed.

10:40 AM: Pick up boxed lunch and depart for SFO

1:00 PM: Depart SFO on UA Flight 1400

8:54 PM: Arrive IAD

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August 2019 Senate Staffers Attendance List:

Halie	Craig	Legislative Assistant	Sen. Pat Toomey (PA)
Ryan	Dattilo	Chief Counsel for Antitrust and Bankruptcy	Senate Judiciary Committee
Meghan	Dorn	Legislative Aide	Sen. Lindsey Graham (SC)
Alexander	Hanson	Legislative Assistant	Sen. Tom Cotton (AR)
Erich	Hartman	Professional Staff Member	Senate Budget Committee
Taylor	Hewes	Legislative Aide	Sen. Pat Toomey (PA)
Douglas	Levinson	Legislative and Research Assistant	Senator Rob Menendez (NJ)
Sanjana	Puskoor	Legislative Aide	Sen. Michael Bennet (CO)
Scott	Reber	Legislative Assistant	Sen. Risch (ID)
Loully	Saney	Deputy Press Secretary	Sen. Tim Kane (VA)

PAT ROBERTS, KANSAS

JAMES E. RISCH, IDAHO

DEBORAH SUE MAYER, CHIEF COUNSEL AND STAFF DIRECTOR CAMI MORRISON, CHIEF CLERK

United States Senate

SELECT COMMITTEE ON ETHICS

August 15, 2019

Erich R. Hartman Committee on the Budget **United States Senate** Washington, DC 20510

Dear Mr. Hartman:

This responds to your recent correspondence concerning an invitation you received to travel to the Economic Fellowship Program in Stanford, California, on August 21–23, 2019, sponsored by Stanford University's Hoover Institution (Hoover). Hoover certified to the Select Committee on Ethics (the Committee) that it will pay the necessary expenses related to the travel and that it is neither a lobbyist, nor lobbying firm, nor agent of a foreign principal, and it is not otherwise acting as a representative or agent of a foreign government. However, Hoover has certified that it is an organization designated under § 501(c)(3) of the Internal Revenue Code² that retains or employs a registered lobbyist and that no registered lobbyist or agent of a foreign principal will accompany you at any point throughout your trip.3

Based on information and materials available to the Committee, and assuming the actual travel and travel-related expenses conform to the information and materials you provided, it appears that the proposed payment or reimbursement of necessary expenses for this trip may be accepted under relevant Senate Rules and the Committee's Regulations and Guidelines for Privately-Sponsored Travel, so long as at the time of the payment or reimbursement, Hoover is neither a registered lobbyist nor lobbying firm under the Lobbying Disclosure Act of 1995, nor an agent of a foreign principal under the Foreign Agents Registration Act (and is not otherwise acting as a representative or agent of a foreign government), and provided the travel and all required documents are disclosed to the Secretary of the Senate in accordance with the provisions of Senate Rules 34 and 35.

Under Senate Rule 35, Senate staff must receive advance authorization signed by the Member or officer under whose direct supervision the individual works in order to accept payment or reimbursement for necessary expenses related to fact-finding travel. Further, such authorization and expenses must be disclosed to the Secretary of the Senate by filing the completed Employee Pre-Travel Authorization and the Employee Post-Travel Disclosure of Travel Expenses (Form RE-1 and Form RE-2), along with a copy of the Private Sponsor Travel

¹ The term "necessary expenses" has a specific definition. See Select Committee on Ethics' Regulations and Guidelines for Privately-Sponsored Travel - Glossary of Terms at 8.

² 26 U.S.C. § 501(c)(3).

³ The term "any point throughout your trip" has a specific definition. See Select Committee on Ethics' Regulations and Guidelines for Privately-Sponsored Travel - Glossary of Terms at 2.

Certification Form, and all relevant attachments (e.g., the private sponsor's invitation and itinerary) within 30 days of the conclusion of Privately-Sponsored Travel.

Finally, Senate Rule 34 requires a reporting individual,⁴ on his or her Financial Disclosure Report, to make an annual disclosure of the receipt of payments or reimbursements under Senate Rule 35 from a private sponsor for officially-related travel expenses where, in the aggregate, travel expenses exceed \$390 from that sponsor during a calendar year. However, if a Member, officer, or employee properly reports the receipt of necessary expenses for such travel to the Secretary of the Senate within 30 days of the travel, as discussed above, the travel expenses need not be disclosed a second time on their Financial Disclosure Report.

I hope you find this information helpful. If you have any additional questions, please do not hesitate to contact the Committee.

Sincerely,

Debrah Lu Mayo

Deborah Sue Mayer

Chief Counsel and Staff Director

Enclosure: Travel Checklist

⁴ A reporting individual is someone whose salary equals or exceeds 120% of the basic rate of pay for GS-15 (\$127,914 for CY 2019) or is a political fund designee and is required to file Financial Disclosure Reports.